

Appendix 3

LCC Operational Risk Exposure Summary as at 31st January 2021

Risk Ref (as per ORR)	Risk	Risk Owner	Risk Score with existing controls			Target Risk Score with further controls			Target date
			I	L	Score	I	L	Score	
STRATEGIC AREA – CITY DEVELOPMENTS AND NEIGHBOURHOODS									
2.	Neighbourhood and Environmental Services – Ash Dieback – Epidemic of Ash Trees	JL	4	5	20	4	2	8	31/05/21
6.	Planning, Development and Transport – Highways and Transport Services – Covid-19 Impacts	ALS	4	4	16	3	4	12	31/05/21 ongoing
1	Housing - Homelessness – Ongoing pressure and risks associated to statutory homeless cases requiring temporary accommodation exaggerated by budget, capacity and housing stock reductions as well as impact of UC roll out.	CB	4	4	16	3	3	9	31/05/21 ongoing
3.	Neighbourhood and Environmental Services – Lack of adequate resource capacity	JL	4	4	16	3	3	9	31/05/21 ongoing
7.	Tourism, Culture & Investment – Covid-19 restrictions impact on viability of businesses in the short, medium and long term.	MD	4	4	16	3	3	9	31/05/21 ongoing
4.	Neighbourhood and Environmental Services – Beaumont Park Depot – Condition of depot creating risks to service delivery, individuals working on site and visitors	JL / MW	5	3	15	3	3	15	31/05/21 ongoing
8.	Tourism, Culture & Investment – De Montfort Hall – COVID19 UPDATE – Unable to trade due to government lockdown.	MD	3	5	15	3	5	15	31/05/21 ongoing
5.	Neighbourhood and Environmental Services – Reduction in income generation programmes	JL	3	5	15	2	4	8	31/05/21 ongoing
STRATEGIC AREA – CORPORATE RESOURCES AND SUPPORT									
10.	Finance - Information and Customer Access – Cyber Security. Increasing profile and expertise to circumvent established defences increase vulnerability of LCC data.	AG	4	5	20	4	5	20	31/05/21 ongoing
11.	Finance - Financial challenges - the Council fails to respond adequately to the cuts in funding over the coming year or years.	AG	5	4	20	5	3	15	31/05/20 21
9.	Delivery, Communications and Political Governance – City Catering Service losing business. Further loss of schools / decline in	MC	4	4	16	3	4	12	31/05/21 ongoing

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21	Contract for IT system is incompliant, and the system needs to be replaced – Service is left with an incompliant and outdated system due to the lack of upgrades	IB	5	4	20	4	3	12	31/05/21
22.	Budget - External Influences External national imperatives without associated budget introduced which will impact on local delivery	IB	4	4	16	3	4	12	31/05/21
23.	Budget Restrictions - Commissioning Reduced budget for services impacts on financial viability to potential 3rd party contractors who may deem package to be unsustainable.	IB	4	4	16	4	3	12	31/05/21
24.	Technology – Systems/ technology not fit for purpose to support services and commercial objectives, lack of IT knowledge	IB	4	4	16	3	3	9	31/05/21
25	Budget Restrictions - Funding Ongoing austerity for Public Sector requires changes to service delivery to comply with available budget, continued reductions could force termination of services to ensure priority services remain available	IB	3	5	15	2	5	10	31/05/21

Key:

IMPACT (I)	SCORE
CRITICAL/ CATASTROPHIC	5
MAJOR	4
MODERATE	3
MINOR	2
INSIGNIFICANT/ NEGLIGIBLE	1

LIKELIHOOD (L)	SCORE
ALMOST CERTAIN	5
PROBABLE / LIKELY	4
POSSIBLE	3
UNLIKELY	2
VERY UNLIKELY / RARE	1

Risk scores:

LEVEL OF RISK	OVERALL RATING	HOW THE RISK SHOULD BE TACKLED/ MANAGED
High Risk	15-25	IMMEDIATE MANAGEMENT ACTION
Medium Risk	9-12	Plan for CHANGE
Low Risk	1-8	Continue to MANAGE

Risk Owners:

AG	-	Alison Greenhill	KA	-	Kamal Adatia
ALS	-	Andrew L Smith	MC	-	Miranda Cannon
CB	-	Chris Burgin	MD	-	Mike Dalzell
CT	-	Caroline Tote	RL	-	Ruth Lake
IB	-	Ivan Browne	SW	-	Sue Welford
JL	-	John Leach	TR	-	Tracie Rees